Approved by Resolution
of the Sole Shareholder
Limited Liability Company
«Central Asian Investments»
Resolution № 1/26
dated 06.04.2026

RULES FOR THE PROVISION OF INVESTMENT (BROKERAGE AND CUSTODIAN) SERVICES LIMITED LIABILITY COMPANY «CENTRAL ASIAN INVESTMENTS»

1. General Provisions

1.1. These Rules (hereinafter also referred to as the 'Rules') establish the procedure for the provision of investment (Brokerage and Custodian) services by the LIMITED LIABILITY COMPANY «CENTRAL ASIAN INVESTMENTS» (hereinafter "SERVICES"), as well as the procedure for the exchange of information and document flow with the Client in the context of the provision of such services, the procedure for record-keeping in relation to these services, and form an integral part of any contract for the provision of services rendered by the Company to Clients.

1.2. The terms and definitions used in these Rules shall have the meanings set out in clause 2 of these Rules. Unless otherwise specified in clause 2 of these Rules, the terms and definitions shall have the meanings set out in the legislation of the Republic of Tajikistan, unless the context clearly indicates otherwise.

1.3. By signing the Agreement with the Company, the Client undertakes to comply strictly and unconditionally with these Rules.

1.4. These Rules have been drawn up in accordance with the requirements of the Law of the Republic of Tajikistan 'On the Securities Market', the Procedure for the Conduct of Brokerage/Dealing Activities in the Securities Market, approved by the Government of the Republic of Tajikistan on 29 December 2000, No. 502, and the Procedure for the Conduct of Depository Activities of 23 August 2016, No. 372.

2. Terms and Definitions

2.1. The terms and definitions established by these Rules shall have the following meanings:

«Investment assets – cash, securities and other assets designated as investment assets by a state body authorised by the Government of the Republic of Tajikistan;

«Nominee holder» – a person, a professional participant in the securities market, who is not the owner of the securities, representing the interests of the owner in relation to their securities and exercising rights in respect of them in their own name and on behalf of the owner on the basis of a contract;

«Nominee account» – A custody account opened in the name of the custodian, in which securities belonging to the custodian's clients or to the custodian itself are held,

«Securities account» – A personal account comprising a set of interrelated records and documents used to record securities and identify the client, as well as the rights and restrictions relating to those securities, the timeframes for accepting securities for recording and making entries, and other information required by law.

«Depository activities» - as the provision by the Company of services relating to the safekeeping of securities and/or the recording and transfer of rights to securities.

«Currency» **–**Tajik somoni (TJS) and/or foreign currency,

«Foreign currency» **–** foreign currency as defined in the Law of the Republic of Tajikistan 'On Currency Regulation and Currency Control',

«Brokerage transaction» **–** the purchase and sale of securities (or other financial instruments) by a company in its own name or on behalf of a client and at the client's expense, based on the client's instruction, or the acceptance and transfer of the relevant client instruction to another person (broker, sub-broker) for execution,

«Brokerage services» **–** The performance of dealing and brokerage operations, as well as investment activities relating to the management of a client's securities portfolio.

«Partner» – The Central Securities Depository, other depositories, brokers, portfolio managers, as well as other professional service providers cooperating with the Company, who may be engaged by the Company to provide services to the client, as defined by these Rules.

«Company» – LIMITED LIABILITY COMPANY «CENTRAL ASIAN INVESTMENTS»

«Client» – Any person who has entered into or expressed an intention to enter into a contract with the Company.

«Custody of the Client's Assets» **–** the Company's holding of the Client's funds (securities and/or cash) or their recording in its accounts, or other forms of actual control over them,

«***Settlement Account»*** – A cash settlement account opened with a commercial bank, in which clients' funds transferred to the Company for use in the provision of services (including, but not limited to, securities transactions***)*** are recorded***.***

«Investment advice» **–** A service comprising personal advice, a recommendation or any other similar action or service provided to the Client in relation to the conclusion of transactions involving securities or other financial instruments, including one or more of the following:

1) providing advice on the purchase, sale, subscription, redemption, holding, guarantee or any other transaction involving any securities or other financial instruments,

2) providing advice on the exercise or non-exercise of any rights arising from a specific security or derivative financial instrument that are linked to the purchase, sale, subscription, redemption, holding, guarantee or other transaction involving a security or other financial instrument,

3) training, teaching, staff development, organising and/or conducting courses, and providing analytical and other information on securities transactions, as well as providing information on online trading platforms (electronic communication systems, terminals and other software) designed for the execution of such transactions,

«Investment Account» **–** Securities and cash accounts opened by the Company for the same Client and having the same terms of validity. There are two types of investment account: OWN, comprising the Client's personal securities and cash accounts in which their own assets are held, and CLIENT, comprising both nominee accounts and cash accounts in which the Client's funds are held.

«Best-Efforts Underwriting» – A type of underwriting whereby the Company makes every reasonable effort (best efforts) within the scope of its authority to place the securities in full, but does not undertake to purchase the unsold portion of the issue in the event of a shortfall in the placement of the issued securities.

***«Agreement»* –** An Agreement for the provision of investment (brokerage and custodian) services provided by the Company to the Client in accordance with the form specified by the Company.

***«Instruction/Order»* –**relevant instruction to perform any action (including the execution of a transaction), issued by the Client to the Company as part of the provision of services.

***«Tariffs»* –** Tariffs for the provision of brokerage/investment services/custody services, set by the Company and published on its official website, or, in the cases provided for in these Rules, fees determined by a bilateral agreement between the Company and the Client.

«Underwriting» – service involving the organisation and execution of the initial sale of securities to investors in accordance with the procedure set out in these Rules.

«***Statement»* –** document issued by the Company to the Client upon their request, containing information regarding their Securities and/or Cash account in relation to all Services or a specific Service.

«Secure channels of communication» – refers to the authorized addresses, email addresses and telephone numbers of both the Company and the Client specified in the Contract. The aforementioned channels of communication are recognized as secure and reliable means of communication.

«Organized securities market» – a securities trading venue where securities transactions are governed by the procedures and conditions established by the trading organizer for the participants in such transactions in accordance with the law;

«An unorganized securities market» is a sphere of securities trading in which securities transactions are carried out without complying with the requirements of an organized market.

«Portfolio management» – a service comprising the management of securities, cash invested in securities, as well as securities and cash received as a result of trust management, transferred to the manager's control but belonging to the client and held in the client's interests or in the interests of a third party (beneficiary) designated by the client on behalf of the manager, in accordance with the instructions provided by the client.

***«Account statement»* –** document detailing the balance of securities and/or funds held in the Client's account at any given time, together with transactions executed on the account during a specified period, is provided by the company to the client upon the client's request or at intervals specified in the agreement.

***« Law»* –** Law of the Republic of Tajikistan «On the Securities Market».

3. Procedure for accepting the terms of the Agreement

3.1. To accept the terms of the Agreement, the Client shall submit the relevant Application (in the form specified in Appendix №. 1), specifying the Services they wish to use, as well as the documents specified in Appendix № 11 of these Rules. If the Client chooses the "securities portfolio management" service, the Client additionally signs the Agreement for the Provision of Securities Portfolio Management Services and the Application Form for joining this Agreement in the form specified in Appendices No. 9.1 ,9.2,9.3. of these Rules.

3.2. Based on the results of the review of the Application (hereinafter the "Application") and the assessment of the Client, the Company shall decide whether to approve or reject the Application. The Client shall be notified of this decision via the secure channels of communication specified in Appendix 10.

3.3. If the Application is approved, the contract shall be deemed to have been concluded on the date specified in the notification of approval of the Application sent to the Client (Appendix

7.1.), in respect of the services specified in the Application and subject to other material terms and conditions. In confirmation of the conclusion of the contract, the parties shall sign the contract – a document in the form specified in Appendix № 9, which also serves as the form of the contract of adhesion to the Service Agreement.

3.4. In the event of rejection of the Application, the Company shall send the Client a notice of rejection of the Application (Appendix № 7.1.). The Company shall not be obliged to disclose or justify the reasons for the rejection.

3.5. To amend the Agreement (to add services or cancel specific services), the Client shall submit an Application for Amendments to the Agreement (Appendix № 8), and to open new accounts, an Application for the Opening of Additional Account(s) (Appendix № 7.2). The Company shall process the Application for Amendments to the Agreement in accordance with the procedure set out in this chapter. Notification of the approval/rejection of the application for amendments to the Agreement/opening of an additional account(s) shall be sent to the Client in the form specified in Appendix № 10.

4. Information requested from clients.

4.1. Prior to the conclusion of the Agreement (approval of the Application), the Company assesses the Client's financial position, investment objectives, and investment knowledge and experience in accordance with the documents provided and information obtained from publicly available sources.

4.2. The Client undertakes to provide all documents specified in Appendix № 11 of these Rules, as well as any other additional information requested by the Company.

4.3. The Client shall submit a beneficial owner questionnaire in accordance with Appendix 12 of these Rules.

4.4. In order to comply with the requirements of the legislation of the Republic of Tajikistan in the field of combating the legalization (laundering) of proceeds of crime, the financing of terrorism and the financing of the proliferation of weapons of mass destruction, the Client shall submit a questionnaire in the form specified in Appendixes 13 and 14 to these Rules.

5.1. *General Terms*

5.1.1. The Company provides services in accordance with the laws and subordinate legislation of the Republic of Tajikistan, the relevant Agreement and these Rules.

5.1.2. When providing services, the Company strictly complies with the requirements of the current legislation of the Republic of Tajikistan.

5.1.3. Orders are submitted in the manner specified in the Agreement (including these Rules), as well as using the forms published on the Company's official website.

5.1.4. Orders are processed in accordance with the law.

5.1.5. The Company maintains all records required by the laws and regulations of the Republic of Tajikistan in relation to the services provided by the Company, and also provides the Client with the relevant reports in accordance with the Agreement.

5.1.6. The Company does not promise any return on investment. The value of the Client's investments may fluctuate depending on various market factors.

5.1.7. The Company has the right to terminate the Agreement unilaterally and close the Client's accounts if the Client has not executed any Transactions and/or has not contacted the Company for more than 1 (one) year, as well as if the balance on the Client's account has been negative for more than 3 (three) months.

5.2. Investment Account

5.2.1. Brokerage services, portfolio management and/or custodian services commence upon the opening of a OWN or CLIENT brokerage/investment account. CLIENT investment account may be either a general (aggregated) account, comprising the securities/funds of the Client's clients, or a separate (segregated) account, intended for the securities/funds of a specific Client. The Client discloses information about the beneficial owner of a segregated investment account by submitting a duly completed and signed form, available on the Company's official website.

6. Procedure for the provision of investment services

6.1. Brokerage services

6.1.1. Execution of transactions on behalf of the Client

6.1.1.1. The Company carries out brokerage operations both on its own behalf and on behalf of the Client, and at the Client's expense, on both regulated and/or unregulated markets to which it has direct access.

6.1.1.2. On the basis of the Client's instructions, the Company is entitled to enter into transactions involving securities or other derivative financial instruments on the Client's behalf and at the Client's expense. This is done in cases where, in the Company's opinion, entering into a transaction on the Client's behalf secures the most favourable terms for the Client.

6.1.1.3. The Company is entitled to sell/purchase securities from the Client on the basis of a purchase and sale agreement concluded with the Client, provided that such a transaction secures the most favorable terms for the Client.

6.1.2. Execution of transactions through the transmission of client orders

6.1.2.1. Where the Company does not have direct access to the regulated and/or unregulated markets on which the securities (or other financial instruments) specified in the Client's Order are traded, it forwards the Client's Orders to other brokers (sub-brokers) for execution.

6.1.2.2. The selection of brokers (sub-brokers) is carried out in accordance with the criteria set out in Chapter 13 of these Rules.

6.1.2.3. The Company shall be entitled to transfer the execution of a Client Order to other brokers (sub-brokers) even if it has the ability to execute the Order on markets directly accessible to it, provided that, in the Company's assessment, this is in the Client's best interests.

6.1.2.4. The Company carries out the assessment specified in clause 6.1.2.3 of the Rules, taking into account the criteria set out in the Rules.

6.1.2.5. The Company's obligation shall be deemed to have been fulfilled from the moment the Client's Order is transferred to another broker (sub-broker) for execution in the cases and in the manner specified in the Rules.

6.1.3. Execution of transactions

6.1.3.1. Brokerage transactions are carried out with securities and other financial instruments traded on both regulated and unregulated markets.

6.1.3.2. Brokerage transactions are carried out on the basis of Client Orders in the format established and published on the Company's official website, and transmitted to the Company via secure channels of information exchange.

6.1.3.3.The Company processes Orders in accordance with the procedure and within the timeframes set out in Chapter 11 of the Rules, and taking into account the provisions of Chapter 10 of the Rules.

6.1.3.4.Prior to the Company's execution of an Order, the Client has the right to cancel or amend it by sending the relevant Instructions via secure communication channels.

6.1.3.5.A Client's order cannot be executed if there are insufficient funds in their Brokerage/Investment Account to fulfil the order, except where the Client is provided with credit facilities for the purpose of executing transactions and a loan is granted to them as part of those facilities.

6.1.3.6.The Company carries out the operations necessary for the final settlement of transactions concluded with securities and other financial instruments as part of its brokerage services, transferring and crediting the funds required to execute transactions and received as a result thereof to the relevant client accounts:

6.2. Investment consultancy

6.2.1. Personal consultations.

6.2.1.1. Personal advisory services include providing the Client with recommendations on matters relating to the acquisition, disposal, subscription, redemption, holding, securing, exercising or not exercising any right arising from any other transaction or a specific security.

6.2.1.2. Personal consultations are provided in the form of a reasoned and substantiated opinion of the Company, signed by a professionally qualified member of the Company's staff.

6.2.1.3. To request a personal consultation, the Client shall send the Company a corresponding application/request via secure means of communication.

6.2.1.4. When considering the application or request, the Company reserves the right to request additional information from the Client regarding the service requested, for example, information on specific cases, securities, derivative financial instruments or transactions. This information will be used to determine the scope and terms of the provision of personalized advisory services.

6.2.1.5. The provision of services shall commence upon the determination of the scope of consultancy services and the approval of the terms and conditions for their provision in accordance with the procedure set out in sub-clause 6.2.1.4 of the Rules.

6.2.2. Training-based consultancy

6.2.2.1.Educational and methodological consultancy includes the organization of courses, training in other formats, and the development of specific educational and methodological materials on individual financial transactions, current issues in the securities market, electronic trading platforms and other topics related to the capital markets.

6.2.2.2.Educational (training) consultancy is provided in the form of courses, training sessions and workshops, the development of training programs and other materials, and delivery to the Client via electronic (software) media channels.

6.2.2.3.To request advice on training (educational) matters (e.g. the organisation of a seminar), the Client shall submit a relevant application/request.

6.2.2.4.The review and analysis of the Order, clarification of its terms (including deadlines) and approval by the Client shall be carried out in accordance with the procedure set out in sub-clauses 6.2.1.4–6.2.1.5 of these Rules.

6.3. Portfolio management

6.3.1. Procedure for the provision of investment portfolio management services

6.3.1.1.As part of the provision of investment portfolio management services, the Company's efforts are directed towards preserving the initially invested funds (the initial portfolio) and maximizing investment returns, taking into account the investment policy statement developed, which includes the selected investment restrictions and thresholds.

6.3.1.2.Prior to the commencement of investment portfolio management services, the Parties shall agree on the investment declaration, including the structure of the initial portfolio, applicable limits, investment strategy, risk-return ratio, etc.; all matters shall be discussed via secure channels of communication.

6.3.1.3.The provision of the investment portfolio management service shall be deemed to commence upon the Client's transfer of funds invested in the initial portfolio to the Investment Account opened with the Company.

6.3.1.4.The Company provides the investment portfolio management service independently in accordance with the terms set out in the investment declaration and in accordance with the Client's instructions.

6.3.1.5.Sub-clause 6.3.1.4 of the Rules does not restrict the Company's right to engage external managers to manage the Client's portfolio. The selection of external managers is carried out in accordance with the criteria set out in Chapter 13 of these Rules.

6.3.1.6.In order to achieve the best possible results and ensure that the management of the investment portfolio is tailored as closely as possible to the Client's requirements, the Company is entitled to provide investment portfolio management services in conjunction with other services provided by its affiliated entities. This clause implies that, in addition to securities and/or other financial instruments, the Client's portfolio may include additional financial or other instruments offered by persons affiliated with the Company, united by a common investment policy and common management principles. In this case, for the purposes of these Rules, the rules governing the provision of investment portfolio management services shall also apply to that part of such a portfolio consisting of securities (derivative financial instruments).

6.3.1.7.The Company does not acquire ownership of the assets transferred by the Client for portfolio management.

6.3.1.8.The Company carries out transactions involving the funds comprising the portfolio in its own name, participating in both regulated and unregulated markets, and, where necessary, engaging custodians, brokers and other intermediaries.

6.3.1.9.The Company does not undertake to provide any guaranteed rate of return on investments as part of the portfolio management service.

6.3.1.10.The client may initiate changes or additions to the investment declaration by disseminating and coordinating the relevant information through secure communication channels.

6.3.2. Selection of a benchmark and investment strategy

6.3.2.1.The benchmark specified in the investment declaration must reflect the returns of alternative investments, enabling the Client to compare the performance of portfolio management with that of alternative investments. In particular, the benchmark must reflect the returns of other comparable instruments available on the financial market, the maturity, risk, country of investment and other material characteristics of which correspond as closely as possible to or are comparable with the restrictions specified in the investment declaration.

6.3.2.2.The benchmark should not be based on the Company's past performance or factors within the Company's control.

6.4. Best-Efforts Underwriting

6.4.1. As part of its best-efforts underwriting services, the Company provides the Client (issuer) with the following services (or part thereof) relating to the organisation of the securities underwriting process:

6.4.1.1.drafting the securities prospectus and arranging for its registration with the Ministry of Finance;

6.4.1.2.identifying and attracting potential investors (through the organisation of individual meetings and roadshows);

6.4.1.3.conducting advertising and marketing activities.

6.4.1.4.initiating preparations for the listing (admission to trading) of securities on a regulated market.

6.4.1.5.organizing the disclosure of information by reporting issuers in accordance with the Law.

6.4.2. The Company shall use its best endeavours to ensure the full placement of the issue, but does not guarantee such full placement.

6.4.3. The scope and specific features of the services provided under the placement shall be agreed between the Company and the Client using secure means of communication.

6.5. Market-making services

6.5.1.As part of its market-making services, the Company, as a member of an exchange or other regulated market, trades in securities listed or admitted to trading on a regulated market on behalf of the Client or their issuer. The aim is to provide liquidity by participating in continuous two-way quoting (bid and ask prices) for these securities and executing buy and sell transactions.

6.5.2.The procedure, terms and conditions for the provision of market-making services (including the bid-ask spread) are determined by the rules of the relevant regulated market.

6.6. ***The Company's services as a Depositary***

6.6.1.As part of the services facilitating the exercise by Depositors of their rights in respect of securities, the Company, as Depositary:

6.6.1.1. takes all necessary steps to enable the Depositor to exercise their rights in respect of the security and opens a corresponding default custody account for each client, unless the Client themselves opts out of this service in writing;

6.6.1.2. receives information and documents relating to Depositors' securities provided by the register keeper, depositary, correspondent or foreign organization responsible for recording rights in securities, and forwards them to Depositors in the manner and within the timeframes provided for in the Terms and Conditions;

6.6.1.3. takes all measures provided for by the laws and other regulatory legal acts of the Republic of Tajikistan, and the regulatory acts of the Regulator, to protect the interests of Depositors when the issuer carries out corporate actions;

6.6.1.4. when the issuer compiles lists of securities holders and persons exercising rights in respect of securities, it shall provide the register keeper and/or correspondent depositary with all information regarding Depositors and persons in whose names custody sub-accounts have been opened, and regarding the securities belonging to them;

6.6.1.5. ensures the proper safekeeping of depository records, the retention of which is necessary for holders to exercise their rights in respect of securities;

6.6.1.6. provides the person with whom it has opened a personal account/deposit account (the registrar, the correspondent depositary) with information regarding the Depositors' intentions when the Depositors exercise their rights in respect of securities.

6.6.2.The Depository's activities are governed by the Procedure for the Conduct of Depository Activities and the Regulations on Depository Activities, which are approved by the Company's Sole Shareholder.

7. Procedure for the provision of non-core services

7.1. Custody

7.1.1. General Terms and Conditions of Custody

7.1.1.1.The transfer of securities for safekeeping does not entail the transfer of ownership of the securities to the Company.

7.1.1.2.The Company independently selects and determines the places of custody of securities in accordance with the procedure provided for in Chapter 13 of these Rules.

7.1.2. Types of accounts and the procedure for opening accounts

7.1.2.1.Upon the Client's application, the Company may open both OWNER and nominee (aggregated and segregated) accounts on behalf of the Client.

7.1.2.2.A nominee depository account is opened only for those clients who are authorised to carry out professional activities on the securities market.

7.1.2.3.To open a segregated nominee account, the Client shall provide the Company with details of the owner of the securities held in that account, using the form available on the Company's official website. Furthermore, the Client is obliged to provide additional information at the Company's request. A Client who has requested an aggregated account in their own name is obliged to provide, upon the Company's request, full and comprehensive information regarding the owners and beneficial owners of the securities held in that account.

7.1.2.4.Failure to comply with the conditions set out in sub-clause 7.1.2.3 of these Rules shall constitute grounds for the Company to either close the account or suspend some or all transactions on it.

7.1.3.Types of securities transfer transactions and **the specifics of their execution**

7.1.3.1. Based on instructions from the Client and other parties to the transaction, the Company carries out transactions on a 'delivery against payment' and 'delivery without payment' basis.

7.1.3.2. The Company also carries out 'free delivery' transactions based on the Client's Instruction. To execute a 'free delivery' transaction, The Client is obliged to provide, together with the Instruction, supporting documents confirming the conclusion of the transaction or a legally significant event forming the basis for the transfer (for example, a copy of the relevant contract), as well as other necessary documents required by the legislation of the Republic of Tajikistan. The Company assesses the sufficiency of the documents provided for the execution of the transaction. If necessary, the Client may be asked to provide additional documents. An exception is the case provided for in clause 8.2 of these Rules (no supporting documents are required to carry out such a transfer).

7.1.3.3. The information specified in the counter-instructions for delivery against payment and delivery without payment by agreement transfer transactions must correspond with one another. In the event of a discrepancy, the transaction will be rejected.

7.1.3.4. The Company carries out transfer transactions by means of netting and set-off of claims and obligations.

7.1.3.5Transfer instructions shall be submitted using the form published on the Company's official website.

7.1.4. Exercise of rights and powers arising from the Company's custodian services, payment of coupons and dividends to the Client

7.1.4.1. As part of its depositary custody services, the Company ensures the exercise of the Client's voting rights and other rights relating to securities, or exercises these rights and powers by obtaining from the Client the necessary documents for the exercise of such rights (e.g., power of attorney). The method of exercising the rights specified in this clause shall be determined by the Company.

7.1.4.2. The Client shall be liable for any material and adverse consequences arising from the Client's failure to provide the Company with the requested documents in accordance with sub-clause 7.1.4.1 of these Rules.

7.1.4.3. As part of its custody services, the Company pays the Client the income received from securities, as paid by the issuer of the securities. The income referred to in this clause is credited to the Client's investment account. The Company shall not be liable for the acts or omissions of third parties which may result in non-payment, partial payment or failure to meet the established deadlines in respect of income received from securities (including, but not limited to, tax deductions and other mandatory payments, suspension of payments by foreign depositories, etc.).

7.1.4.4. If the proceeds specified in sub-clause 7.1.4.3 of these Rules are paid in a currency that cannot be transferred directly to the Client, the Company shall convert it into another currency by mutual agreement with the Client, using the foreign exchange rate set by the National Bank of Tajikistan on the date of the transfer.

7.1.4.5. As part of its depositary services, the Company facilitates the exchange of information and documents between the issuer or other depositaries (including the central depositary or a foreign depositary) and the Client. This includes documents issued by the issuer or another depository to the Client, as well as documents issued by the Client to the issuer or another depository for the purpose of exercising rights and fulfilling obligations relating to securities.

7.2. Services relating to the issue and underwriting of securities

7.2.1.As part of the services relating to the issue and/or underwriting of securities, the Company is entitled to provide the Client with advisory and other services relating to the issue and/or underwriting of securities. The Company is also entitled to provide other services not included within the scope of underwriting services as defined in sub-clause 6.4.1 of these Rules.

7.2.2.The scope of services relating to the issue and/or underwriting of securities, the terms of delivery, deadlines, procedures and fees shall be agreed between the Client and the Company via secure channels of communication.

7.3. Provision of a loan to facilitate transactions

7.3.1. Where the order contains a corresponding instruction, the Company shall be entitled to lend funds and/or securities to the Client. This applies where there are insufficient funds in the Client's account to conclude the transaction specified in the order and the Company is a party to that transaction.

7.3.2. The Company is under no obligation to lend funds and/or securities to the Client. An Order may be rejected due to the Company's lack of sufficient funds or for any other reasons. The Company is under no obligation to provide a reason for rejecting an Order.

7.3.3. The Client undertakes to provide the Company with funds and/or securities as collateral for the loan. The amount and limits of the funds and/or securities provided as collateral shall be agreed between the Company and the Client via secure channels of communication.

7.3.4. The company charges interest on the loan. The interest rate is determined by the relevant Tariffs or by agreement between the Parties.

7.3.5. The client is obliged to repay the loan and pay the accrued interest within the term specified in the loan agreement, which must be concluded between the Company and the client. In the event of a failure to fulfil the obligation specified in this clause, the Company shall be entitled, without recourse to the courts, to enforce and realise (if necessary) the funds and/or Securities provided as security. If these are insufficient, the outstanding balance of the obligation may be debited from the Client's account without the Client's consent.

7.4. Business consultancy services

7.4.1. As part of its business consultancy services, the Company advises the Client on matters relating to the capital structure of legal entities, corporate strategy and corporate restructuring.

7.4.2. The terms and conditions for the provision of consultancy services (including scope and price) are agreed between the Company and the Client via secure channels of communication.

7.4.3. The Client shall be liable for any adverse consequences arising from the failure to provide the Company with the information necessary for the proper provision of consultancy services.

7.5. Preparation and distribution of research, analyses and general investment proposals

7.5.1. The Company may prepare and distribute financial market research, analysis, general investment recommendations, and other analytical and/or marketing materials.

7.5.2. The materials referred to in sub-clause 7.5.1 are for information purposes only, and the Company shall not be liable for any investment decisions made by the Client on the basis of these materials.

8. Retention and return of client assets

8.1. In order to protect the Client's assets entrusted to its custody, the Company is obliged to:

8.1.1. keep records and accounts in such a way that, at any time, the assets of each Client can be distinguished from the assets of other Clients, as well as from the Company's own assets,

8.1.2. ensure the accuracy and consistency of information, account-keeping and record-keeping with the funds and securities actually held by the Client and transferred into the Company's possession and/or management, 8.1.3. periodically carry out reconciliations and adjustments between accounts, information and records of the Client's assets in its possession and the entities holding the Client's assets, as well as between the balances on the Client's securities and cash accounts and their inflows and outflows,

8.1.4. ensure that the Client's securities held by a third party can be segregated from its own securities, as well as from the securities of such third party,

8.1.5. take the necessary administrative measures and steps to manage the risk of loss of the Client's assets or rights relating to the Client's assets as a result of fraudulent or unauthorized use of the Client's assets, fraud, incomplete accounting or negligence.

8.2. The Company selects the locations for the safekeeping of the Client's assets at its discretion, taking into account what is appropriate and in the Client's best interests. The Client may instruct the Company to transfer their assets from the Company's nominee accounts ( ) to other accounts designated for the management of the Client's assets, or to their own securities accounts. Instructions referred to in this clause shall be processed within a reasonable timeframe. An instruction to transfer securities referred to in this clause shall be submitted in the 'Free Delivery' form, and an instruction to transfer funds shall be submitted in the form specified on the Company's official website.

8.3. The Company is entitled, subject to the Client's consent, to use the Client's funds in its possession for its own purposes (including pledging them as collateral, lending them out, investing them as a deposit, etc.). The use of the Client's funds in the manner provided for in this clause does not affect the Company's obligations to safeguard and return the Client's funds.

8.4. The Company carefully selects third-party organizations, such as banks and depositories, to safeguard its clients' assets, strictly adhering to the criteria set out in Chapter 13 of these Rules.

8.5. Throughout the entire period of holding the Client's assets (including their use for the Company's own benefit), the Company strictly complies with the requirements of the legislation of the Republic of Tajikistan.

8.6. Within 30 (thirty) working days of the date of termination of the Agreement, the Company shall return the assets in its possession to the Client by transferring them to the Client's special accounts specified in the relevant Instruction.

9. Acting through an authorised representative

9.1. In accordance with the terms of the Contract, the Client may act through an authorised representative.

9.2. To grant a third party the authority to exercise rights and powers, the Client shall sign and submit to the Company a power of attorney in the form set out in Appendix 3 to these Rules, which clearly defines the scope and limitations of the authorized representative's powers.

9.3. All rights, obligations, terminations and amendments arising from the legal relationship with the Company under the Agreement shall vest exclusively in the Client as a result of the authorized person's actions, irrespective of any separate legal relationship the Company may have with the authorized person.

9.4. The Company shall not be liable to the Client for any losses incurred by the Client as a result of the authorised person's actions.

10. Policy on the Prevention and Resolution of Conflicts of Interest

10.1 This chapter sets out the Company's policy on the prevention and resolution of conflicts of interest.

10.1.1. Prevention and resolution of conflicts of interest between the Company and its directors/ employees

10.1.1.1 The Company's managers and relevant employees must constantly consider and assess the possibility of conflicts of interest arising between themselves and the Company within the limits of the information available to them.

10.1.1.2 If a manager or any other employee of the Company, in accordance with Sub-clause 10.1.1.1 of these Rules, determines that a conflict is likely to arise between their personal interests and the interests of the Company, or if such a conflict arises, they shall notify the directors thereof.

10.1.1.3 . If the Company is unable to prevent a conflict between the interests of its directors or other employees and the interests of the Company, the interests of the Company shall take precedence.

10.1.2. Prevention and resolution of conflicts of interest between the Company and the Client

10.1.2.1. The Company must take all measures and steps to prevent potential conflicts of interest between the Company and the Client, and if prevention is not possible, the Company undertakes to minimize such conflicts.

10.1.2.2. To prevent potential conflicts of interest between the Company and the Client, the Company avoids entering into transactions that may give rise to a conflict of interest; where such avoidance is not possible, the Company places the Client's interests first.

10.1.2.3. The Company executes the Client's orders on the most favorable terms, in full compliance with the provisions of the Order Execution Policy.

10.1.2.4. The Company's investment proposals, advertising and informational materials must contain a disclaimer regarding potential conflicts of interest.

10.1.2.5. The Company ensures the separation of responsibilities and powers amongst its brokers and dealers on the trading platforms and terminals it operates, ensuring that their instructions and transactions remain confidential and are not visible to one another.

11. Client order Processing policy.

11.1.The Company takes all reasonable measures and steps to process client instructions and orders in a timely manner and to secure the best possible outcome for the Client.

11.2.To execute orders on the best terms for the Client, the Company takes into account various factors relating to each order. These include price, costs incurred by the Client, processing time, the likelihood of proper and timely execution (including the accuracy of the final settlement), the total volume of the order, the characteristics of the specified instruments (e.g. class, type), the nature of the order, the Client's instructions, the settlement venue and any other information relevant to the order and its processing by the Company. The execution of an order in accordance with the specific instructions of the Client set out in this clause is deemed to be the execution of the order on the best terms.

11.3.Market orders are executed by the end of the business day on which they were submitted, provided that the security/derivative financial instrument specified in the order is available on the market. Limit orders are executed when the necessary conditions are met.

11.4.In addition to the order characteristics specified in sub-clause 11.2 of these Rules, in order to process the order on the best terms for the Client, the Company also takes into account the Client's characteristics, including whether the Client falls into the category of Professional or Retail clients, the instructions provided by the Client regarding the general procedure for executing the order, the Client's preferences, as well as other information relating to the Client which, in the Company's opinion, constitutes material factors.

11.5.When processing an order, the Company selects the venue for the transaction in such a way as to minimize the Client's total costs, including the cost of the securities and other transaction-related expenses.

11.6.When assessing the best price conditions, the Company takes into account not only the purchase/sale price of the financial instrument specified in the relevant order, but also all commissions, fees and costs that the Client will incur as a result of the order's execution.

11.7.An instruction/order is deemed to have been executed on the best terms if the Company forwards it for execution to Partners selected on the basis of the criteria set out in Chapter 13 of these Rules.

11.8.Compliance with the order execution policy does not eliminate the risks associated with order execution, as set out in Appendix 5 to these Rules.

12. Disclosure of data and information

12.1. Company Information

12.1.1. Detailed information about the Company, its contact details and licences to carry out its activities are set out in Appendix 4 to these Rules.

12.2. Information on financial instruments and associated risks

12.2.1. Detailed information on financial instruments and the risks associated with each of them is set out in Appendix 5 to these Rules.

12.3. Information on investor protection mechanisms

12.3.1. Detailed information on the rights and obligations of investors, as well as on the measures and structural concepts for the protection of their legitimate interests, is set out in Appendix 6 to these Rules.

13. Criteria for selecting depositaries, brokers and other partners

13.1. The Company shall delegate the provision of the services specified in these Rules, or part thereof, to Partners only if, in the Company's assessment, such delegation will result in the Client receiving services of a higher quality.

13.2. The selection of partners is carried out in accordance with the legislation of the Republic of Tajikistan and the criteria set out in this chapter.

13.3. The Partner's registration, licensing, operating permits and activities must strictly comply with the laws and regulations of the country in which it is registered.

13.4. The Partner's activities must comply with generally accepted international standards and practices within its field of activity.

13.5. The Partner's financial performance and all reports on its activities (including investment activities) must reflect its effective performance.

13.6. The Company must have access to full information regarding the Partner's business history, key personnel, major clients and remuneration arrangements.

13.7. Where the Partner's activities involve portfolio management or other similar services, the Company must have access to documentary evidence confirming that the Partner's relevant investment strategy has been effectively implemented over a specified period of time.

13.8. The selection of a partner and the establishment of a business relationship with them shall take place in accordance with all relevant corporate procedures of the Company.

13.9. Trading platforms, terminals and other information solutions provided by Partners to the Client are provided "as is". The Company shall not be liable for any malfunctions or defects in such systems and solutions, nor for the consequences and effects of such malfunctions.

13.10. The Company shall not be liable for any damage caused to the Client as a result of the actions or inaction of a Partner meeting all the criteria set out in this chapter.

14. Risk Prevention Measures

14.1 The Company implements and applies a comprehensive risk management system, which includes internal audit procedures that comply with the requirements of laws and regulations, as well as leading international practice.

15. Privacy Policy

15.1.The Company collects and processes the Client's personal data in connection with the provision of services as defined by these Rules.

15.2.The Company may also collect information about the Client from other sources permitted by law.

15.3.The use and processing of the Client's personal data is carried out in compliance with the requirements of the law regarding the protection of personal data.

15.4.The Company is entitled to disclose the Client's personal data to its employees and/or Partners who have undertaken to maintain the confidentiality of such information, as well as to competent state authorities with the consent of the data subject.

15.5.When the client uses websites, trading platforms and other electronic resources provided by the Company's Partners, their personal data is subject to the privacy policies of the relevant sources and websites.

15.6.The Company makes every effort to ensure the security of the Client's personal data in its possession.

16. Transitional Provisions

16.1. These Rules are approved by a member of the Company and come into force upon the signing of the relevant resolution and publication on the official website.

16.2. The Company reserves the right to unilaterally amend and/or supplement these Terms and Conditions, which shall take effect for the Client from the moment they are published on the Company's official website, unless the Client notifies the Company of their intention to terminate the Agreement within 5 (five) working days from the date of their publication on the Company's official website and/or from the date of receipt of direct notification from the Company regarding these changes.

Appendix № 1

APPLICATION
for Opening of Investment Account and Joining the Agreement for the Provision of Investment (Brokerage and Custodian) Services
«CENTRAL ASIAN INVESTMENTS» LLC

____
Date

With this Application, ______________________ (the "Client") requests to join the

Agreement on signing of the Contract for the Provision of Investment (Brokerage and Custodian) Services of LIMITED LIABILITY COMPANY «CENTRAL ASIAN INVESTMENTS» and to open an account (a):

Account type	Number
OWN investment account	
CLIENT investment account (available only to licensed financial institutions)	
Aggregated	
Segregated	

Client details:

Registration number /passport number	
TIN	
Registered address /Residential address	
Telephone	
Email	

The client submits an application to use the above-mentioned accounts with LIMITED LIABILITY COMPANY «CENTRAL ASIAN INVESTMENTS» in accordance with the Rules for the Provision of Investment (Brokerage and Custodian) Services of LIMITED LIABILITY COMPANY «CENTRAL ASIAN INVESTMENTS» and the Agreement for the following services:

☐ Brokerage services, including:
☐ Execution of securities transactions
☐ Execution of currency purchase/sale transactions
☐ Transactions using borrowed funds (including foreign exchange transactions)
☐ Investment advice
☐ Securities portfolio management
☐ Best-efforts underwriting of securities
☐ Securities custody services
☐ Provision of loans for securities transactions

☐ Provision of services relating to the organization of the issue and placement of securities
☐ Business consultancy

☐ The Client confirms that they have read and understood the terms and conditions of the Agreement for the Provision of Investment (Brokerage and Custodian) Services by the Limited Liability Company «Central Asian Investments» (Terms and Conditions), as well as the Tariffs (including expenses, fees and commissions).

☐ The Client is aware that transactions involving borrowed funds, including foreign exchange transactions, carry significant risks. The Client understands that such transactions are characterized by significant price fluctuations, which may result in both high profits and substantial losses.

Full name of authorized representative, position:

____

Signature ____

Appendix № 2

APPLICATION FOR THE OPENING OF ADDITIONAL ACCOUNT(S)

Date____________

You are hereby invited to open the relevant account in accordance with the Rules for the Provision of Investment (Brokerage and Custody) Services of LIMITED LIABILITY COMPANY «CENTRAL ASIAN INVESTMENTS».

Account type		Number
OWN investment account		
CLIENT's investment account (available only to licensed financial institutions)		
Aggregated		
Segregated		

Client information:

Full name of the client	
Company account number	

Name of authorized representative________________________

Position of authorised representative______________________

Signature_______________________________________

Appendix № 3

POWER OF ATTORNEY

Date__________

By this power of attorney, __________________________________ (hereinafter the "Client")
Name/Position of the Client
authorizes _____________________ (hereinafter referred to as the 'Representative') to act on his/her behalf and on behalf of the Representative
in legal dealings with LIMITED LIABILITY COMPANY «CENTRAL ASIAN INVESTMENTS». within the specified limits and conditions:

1. **Scope of the Representative's powers**

The Representative is authorised to perform the following actions on behalf of and at the expense of the Client:

- ☐ open a brokerage/investment account(s)
- ☐ to fund the investment account(s)
- ☐ to withdraw funds/securities from the investment account(s)
- ☐ to execute transactions (issue instructions to execute transactions) involving the following instruments:
- ☐ securities:
  - bonds;
  - equity;
  - investment fund units;
- ☐ derivatives:
  - securities swaps;
  - currency swaps;
  - interest rate swaps;
  - call options;
  - put options;
  - securities futures;
  - currency futures;
  - forward contracts on securities;
  - currency forwards;
- ☐ alternative investment instruments;
- ☐ structured investment instruments;
- ☐ exercise rights relating to the custody of securities and other financial instruments;
- ☐ receive income from acquired financial instruments (e.g. coupon payments, dividends);
- ☐ to lend securities and other financial instruments within the following limits: ____;
- ☐ to borrow securities and other financial instruments within the following limits: ____;
- ☐ to lend funds for transactions involving securities and other financial instruments within the following limits: _________________________________;
- ☐ to lend funds for transactions involving securities and other financial instruments within the following limits: _________________________________;
- ☐ to seek and receive advice on investments in securities;
- ☐ exercise rights relating to transactions in securities and other financial instruments;
- ☐ to draw up/submit/amend investment declarations,

- receive reports and other information regarding transactions and financial instruments;
- disclose any information about the Client (including the required information on beneficial owners),
- sign any agreements and other documents necessary for the exercise of the above powers.

2. **Term**

This power of attorney is valid for a period of _______________________________.

Please note that, in accordance with the legislation of the Republic of Tajikistan, the maximum term of validity of a power of attorney is three years.

3. Identification details:

Client

Name: ____

Identity document/state registration number: ____

Tax identification number: _______________________________

Telephone:____

Email: ____

Authorised representative: _______________________________

Representative

Name: ____

Identity document/state registration number: ____

Tax identification number: _______________________________

Telephone:____

Email: ____

Authorised representative: _______________________________

Signature ___________________________________

Appendix № 4

COMPANY INFORMATION

LIMITED LIABILITY COMPANY «CENTRAL ASIAN INVESTMENTS»

Licence № 016/24 for the provision of brokerage and investment services, issued by the Ministry of Finance of the Republic of Tajikistan.

License № 011/25 authorizing the conduct of depositary activities, issued by the Ministry of Finance of the Republic of Tajikistan on 24 November 2025.

Taxpayer Identification Number: 020059780
Unified Identification Number: 0210033588

Registered office and business address:
40/1 Pushkin Street, Dushanbe, Republic of Tajikistan
Official website: centralasianinvestments.com

Official email: info@centralasianinvestments.tj

Languages used for communication and information exchange: Tajik, English, Russian

Appendix № 5

INFORMATION ON FINANCIAL INSTRUMENTS AND RELATED RISKS

THE CLIENT ASSUMES THE RISK associated with transactions on financial markets. The Company SHALL NOT REIMBURSE the Client for any losses or damages, except where these were caused by the Company's fraudulent or unlawful actions.

1. Main types of risk

Investment activities and individual financial instruments are characterised by the following types of risk (please note that the list of risks provided below is not exhaustive):

Price fluctuation risk	The risk that the price of an instrument may rise or fall due to various factors. As these factors are beyond the Company's control, the value of the Client's portfolio may decrease significantly as a result of price movements.
Risk of issuer default	The risk that the issuer will be unable to repay its debt to investors, as a result of which the investor may lose all or part of their invested funds.
Risk of changes to the regulatory framework	The risk of changes to investors' rights and obligations as a result of relevant legislative and/or regulatory changes (e.g. a review of tax obligations).
Social and political risk	The risk of adverse consequences for investors arising from socio-political unrest, upheavals and events (such as coups d'état, mass riots, etc.) occurring in the relevant country (countries).
Risk of fraud	The risk of adverse consequences for investors resulting from fraud and other crimes (including the concealment of information from investors) during the issue, underwriting and sale of a financial instrument.
Operational risk	The risk of direct or indirect losses arising from disruptions in the operation of information, electrical, electronic and communication channels and other systems, as well as from imperfections in market infrastructure (including errors in the implementation of operational technologies, management, accounting and control processes, individual actions or omissions by staff, malfunctions and disruptions in the operation of IT systems of stock exchanges, depositories, and settlement and clearing organizations).
Technology-related risk	The risk of losses arising from human economic activity (e.g. accidents, fires) resulting from human economic activity.
Natural risks	The risk of losses arising from natural disasters occurring beyond human control.
Risk of a decline in liquidity	The risk of market participants losing interest in the relevant instrument. Such a loss of interest may be caused by the issuer's financial and operational performance, corporate actions, general market conditions, market regulations, etc., which may lead to a fall in the instrument's price and, consequently, the total value of the Client's portfolio.

2. Description of financial instruments and associated risks

Instrument	Description	Risks
Bonds/Notes/Promissory notes	A debt security (bond) that certifies the issuer's obligation to repay the bond's face value to the investor and to pay interest (coupons) as stipulated in the terms of issue. In other words, by issuing a bond, a company or a government borrows money. Depending on the term, these may be: 1. short-term: the maturity (repayment of the debt) is less than one year, 2. medium-term: the maturity (repayment of the debt) is between one and five years, 3. long-term: the maturity (repayment of the debt) is more than five years. The market value of a bond is usually expressed as a percentage of its face value. If this percentage is greater than 100%, the market value exceeds the face value; if it is less than 100%, the market value is lower than the face value. Depending on the issuer, bonds are classified into the following types: 1. government bonds issued by the Republic of Tajikistan (on behalf of the Ministry of Finance) or another state (country), 2. corporate bonds – issued by private entities.	Bonds are traditionally regarded as the safest and most reliable investment instrument. However, investing in bonds is not without risk. The main types of risk associated with such investments are price fluctuations and issuer default.
(Share) Share	A security issued by a company that certifies the investor's rights and powers to participate in the management of the issuer, to receive dividends from its income and revenue, and to receive a share of the remaining assets following liquidation.	A company's financial and operational activities have a direct impact on the fate of shareholders' returns and investments. In particular, the bankruptcy and liquidation of a company result in the loss of the entire investment. As for dividends, their payment is at the issuer's discretion. Consequently, it cannot be ruled out that dividends may not be paid for a long period of time.

Warrant	A contract under which one party (the option buyer) acquires the right to sell (buy) a specific underlying asset (currency, security or other asset) at a time and price specified in the contract, whilst the other party (the option seller) undertakes to buy (sell) that asset (currency, security or other asset). Any option, whether a currency option, a securities option or an option on other assets, varies depending on the type of underlying asset. An option granting the right to sell is called a put option, whilst an option granting the right to buy is called a call option.	Options, as derivative financial instruments whose value depends on the price of an underlying asset, are considered risky investments, as it is difficult to predict the direction of the market (or of a specific instrument) without sufficient investment knowledge and experience, particularly in the long term.
Forward market instruments	An agreement concluded outside the formal market framework for the future purchase or sale of an asset or instrument (currency, security or other asset) at a predetermined price and on a non-standard basis, whereby the parties undertake to settle the transaction in full. Depending on the type of asset underlying the forward transaction, the forward transaction is classified as a currency forward, a securities forward or a forward transaction involving other assets.	Since a forward contract does not generate cash flows immediately (under the terms of the contract, they are due to be received in the future), the main risk relates to the counterparty's insolvency, bankruptcy, or failure to fulfil its obligations for other reasons.
Futures	An agreement concluded on the open market for the future purchase or sale of assets (currency, securities or other assets) at a predetermined price and on an over-the-counter basis, whereby the parties undertake to settle the transaction in full. Depending on the type of asset underlying the forward contract, the forward contract may be a currency, securities or other forward contract.	As a forward contract does not generate cash flows immediately (under the terms of the contract, they are due to be received in the future), the main risk relates to the counterparty's insolvency, bankruptcy, or failure to fulfil its obligations for other reasons.

3. Other risks associated with the services

There are other risks inherent in brokerage/investment activities. The client should bear in mind the following:

1. Any brokerage/investment activity involves and contains elements of risk.

2. The expected return on investment is inversely proportional to the level of risk. Risk, whilst serving as a means of generating income, also carries the potential for loss.

3. When launching and carrying out any investment activity, it is extremely important to examine the quantitative and qualitative characteristics of the risk being taken.

4. The safest and most reliable instruments are those with a fixed return, i.e. bank deposits, bonds, fully secured instruments and other instruments that provide a predetermined return over a specific period. However, even the instruments mentioned in this section are not without risk; the fact is that they carry less risk (and consequently, their returns are lower).

5. Among the instruments mentioned in the previous paragraph, shares and other partially or conditionally secured instruments are the riskiest. The absence of a fixed return increases the level of risk (and, consequently, the potential return). The importance of the investor's actions also increases. Unlike bonds, the return or loss on shares depends heavily on the correctness and timeliness of the investor's decisions to buy or sell.

Appendix № 6

INVESTORS’ RIGHTS AND LEGITIMATE INTERESTS PROTECTIVE MEASURES

Complaints regarding the actions or omissions of the Company and its employees may be submitted to the Company during working hours.

Any individual or legal entity has the right to legal redress.

Any natural or legal person has the right to receive information as defined by the Law of the Republic of Tajikistan «On the Securities Market». Information shall be provided within 3 working days; no fee shall be charged for information provided in person or by email, whilst costs associated with providing information may be charged by the Company for information provided by fax or post.

Appendix № 7.1

NOTIFICATION OF APPROVAL/REJECTION OF APPLICATION

Dear ____, we hereby inform you that

Client Name

Your application dated __ / __ / ____ to join the Agreement on signing of the Contract for the provision of investment (brokerage and custodian) services by CENTRAL ASIAN INVESTMENTS, LLC approved/rejected

Your Client code CAI-.

The following accounts have been opened for you:

Account type	**Account number**
OWN investment account	
CLIENT Investment Account (available only to licensed financial institutions)	
Aggregated	
Segregated	

Director _______________

Signature:

Date: _____________

Appendix № 7.2

NOTIFICATION OF APPROVAL/REJECTION APPLICATION FOR THE OPENING OF ADDITIONAL ACCOUNT(S)

Dear ____, we hereby inform you that

Client name

Your application dated _________ to open additional accounts with LIMITED LIABILITY COMPANY «CENTRAL ASIAN INVESTMENTS» has been accepted/rejected.

The following accounts have been opened for you:

Account type	**Account number**
OWN investment account	
CLIENT investment account	
Aggregated	
Segregated	

Director _______________

____

Signature:

Date: _____________

Appendix № 7.3

NOTIFICATION OF APPROVAL/REJECTION
OF THE APPLICATION TO AMEND THE CONTRACT
(WITHDRAWAL OF SERVICES/ADDITION OF SERVICES)

Dear ____, we would like to inform you that
Client name
Your application dated __.__._________ regarding amendments to the Agreement for the Provision of Investment (Brokerage and Custodian Services) with LIMITED LIABILITY COMPANY «CENTRAL ASIAN INVESTMENTS» has been accepted/rejected.

Director _______________

____
Signature:

Date: _____________

Appendix № 8

APPLICATION FOR AMENDMENTS TO THE CONTRACT
(WITHDRAWAL OF SERVICES/ADDITION OF SERVICES)

Date_____________

With this application, ____ agrees
Client name
to amend _____________________ The Agreement for the provision of investment (brokerage and custodian) services was signed with LIMITED LIABILITY COMPANY «CENTRAL ASIAN INVESTMENTS».

Client details:

First name, surname/Company name	
Client code	

The client wishes to use the following services of «CENTRAL ASIAN INVESTMENTS» LLC in accordance with this Agreement and the Rules:

☐ Brokerage services, including:
☐ Execution of securities transactions
☐ Execution of currency purchase/sale transactions
☐ Transactions using borrowed funds (including foreign exchange transactions)
☐ Investment advice
☐ Securities portfolio management
☐ Best-efforts underwriting of securities
☐ Securities custody services
☐ Provision of loans for securities transactions
☐ Provision of services relating to the organization of the issue and placement of securities
☐ Business consultancy
☐ Provision of services relating to the organization of the issue and placement of securities
☐ Business consultancy

☐ The Client confirms that they have read and understood the terms and conditions of the Agreement for the Provision of Investment (Brokerage and Custodian) Services by the Limited Liability Company «Central Asian Investments» (Terms and Conditions), as well as the Tariffs (including expenses, fees and commissions).

☐ The Client is aware that transactions involving borrowed funds, including foreign exchange transactions, carry significant risks. The Client understands that such transactions are characterized by significant price fluctuations, which may result in both high profits and substantial losses.

Full name of authorized representative, position:

____

Signature ____

Appendix № 9

AGREEMENT ON SIGNING OF THE CONTRACT FOR THE PROVISION OF INVESTMENT (BROKERAGE AND CUSTODIAN) SERVICES
LIMITED LIABILITY COMPANY «CENTRAL ASIAN INVESTMENTS»

Date_________ Dushanbe

Limited Liability Company «Central Asian Investments» (licence to provide brokerage/investment services No. 016/24, license to carry out depositary activities No. 011/25 dated 24 November 2025 (hereinafter referred to as the "Company"), represented by the Director _______________, who acts on the basis of the Charter, and ________________________, (address: _____________________registration number/passport number) (hereinafter the "Client"),), on the other hand, collectively referred to as the "Parties" and individually as a "Party", have agreed as follows:

1. Subject Matter of the Agreement

1.1. The Parties, in accordance with the procedure established by the Civil Code of the Republic of Tajikistan, the Law of the Republic of Tajikistan "On the Securities Market" and other legal acts of the Republic of Tajikistan, the Rules for the Provision of Investment (Brokerage and Custodian) Services by the Company (hereinafter referred to as the "Rules"), enter into an Agreement for the Provision of Investment (Brokerage and Custodian) Services (hereinafter referred to as the "Services") (hereinafter referred to as the "Agreement").

1.2. The Parties accept the terms of the Agreement with LIMITED LIABILITY COMPANY «CENTRAL ASIAN INVESTMENTS».

1.3. The scope of services is set out in the Application submitted by the Client and accepted by the Company for accession to the Agreement (hereinafter referred to as the "Application"), together with all amendments and additions thereto, which is attached to this document as an integral appendix.

2. Rights, obligations and liability of the parties

2.1. The rights, obligations and liabilities of the Parties are governed by the Agreement and the Rules.

2.2 In addition to the rights, obligations and liabilities set out in the Agreement and the Rules, the Parties shall also have other rights and obligations as provided for by the legislation of the Republic of Tajikistan, and shall bear the liabilities provided for by the legislation of the Republic of Tajikistan.

3. Terms of payment

3.1. The cost of services is determined by the Company's Tariffs for the provision of services, and the procedure for calculation and payment is determined by the Agreement.

4. Transitional provisions

4.1. This document is drawn up in English and Tajik in 2 (two) copies, each having equal legal force. Each party shall be provided with one copy.

4.2. The bilateral appendix signed to this document sets out the secure methods for exchanging information between the Company and the Client, as well as the details of the authorised representatives. In the event of any changes to the secure methods of exchanging information or to the authorised representatives, the parties shall sign a new appendix.

COMPANY	**CLIENT**
LIMITED LIABILITY COMPANY «CENTRAL ASIAN INVESTMENTS» 40/1 Pushkin Street Dushanbe, Republic of Tajikistan TIN: 020059780 Director ________________ *(signature/seal)*	

Appendix № 9.1

AGREEMENT FOR THE PROVISION OF SERVICES
FOR SECURITIES PORTFOLIO MANAGEMENT
«CENTRAL ASIAN INVESTMENTS» LLC

Date _________ Dushanbe

The «CENTRAL ASIAN INVESTMENTS» LLC (license for securities portfolio management No. 016/24 dated 05.07.2024) (hereinafter referred to as the "Company"), represented by the Director ____________, acting on the basis of the Charter, and ________________________, address: ____________________ (hereinafter referred to as the "Client"), jointly referred to as the "Parties", and individually as a "Party", have agreed as follows:

1. Subject of the Agreement

1.1. The Parties, in accordance with the procedure established by the Civil Code of the Republic of Tajikistan, the Law of the Republic of Tajikistan "On the Securities Market", and other regulatory legal acts of the Republic of Tajikistan, as well as the Rules for the Provision of Investment (Brokerage and Custody) Services of the Company, conclude this Agreement for the provision of securities portfolio management services (hereinafter referred to as the "Services", the "Agreement").

1.2. Under this Agreement, the Company shall manage securities and monetary funds belonging to the Client and intended for investment in securities, in the Client's interests, including the formation, maintenance, restructuring, and optimization of the securities portfolio.

1.3. Management may be carried out on a discretionary basis (with the Company having the right to independently make investment decisions) or based on coordination with the Client, depending on the terms of this Agreement. This clause shall be adjusted according to the Client's choice.

1.4. The scope, terms, and parameters of management, including the investment strategy, acceptable risk level (risk profile), restrictions on types of securities, markets and issuers, as well as other essential conditions, are defined in the Application (hereinafter referred to as the "Application") submitted by the Client and accepted by the Company, including all amendments and additions thereto.

1.5. The Application is an integral part of this Agreement and the Contract.

1.6. By signing this Agreement, the Client undertakes to comply with the Rules, as well as the provisions and terms of the Investment (Brokerage and Custody) Services Agreement of the Company (hereinafter the "Contract") insofar as they do not contradict this Agreement, and accepts all terms specified in these Company documents.

2. Rights, Obligations, and Liability of the Parties

2.1. The rights, obligations, and liability of the Parties are determined by the Contract and the Rules.

2.2. The Company undertakes to:

- manage the securities portfolio in good faith and reasonably, in the Client’s interests;
- comply with the agreed investment strategy and restrictions;
- take into account the Client’s investment profile and risk profile;
- ensure separate accounting of the Client’s assets;
- provide reports on portfolio composition and management results.

2.3. The Company has the right to:

- execute securities transactions on behalf of and/or at the expense of the Client within the granted authority;
- independently make investment decisions under discretionary management;
- receive remuneration in accordance with the Tariffs;
- engage third parties in cases provided for by the Contract.

2.4. The Client undertakes to:

- provide accurate information for determining the investment profile;
- transfer securities and/or monetary funds for management;
- not interfere in the management process under the discretionary model (unless otherwise provided by the Contract);
- timely pay for the Company’s services.

2.5. The Client has the right to:

- receive information about the portfolio status;
- change investment parameters by submitting applications;
- require compliance with the terms of the Contract;
- terminate the Contract in accordance with the established procedure.

2.6. The Company does not guarantee profit and is not liable for losses caused by changes in market conditions, provided that it has duly fulfilled its obligations.

2.7. The Parties bear liability in accordance with the legislation of the Republic of Tajikistan, the Contract, and the Rules.

3. Payment Terms

3.1. The cost of services is determined by the Company’s Tariffs.

3.2. The remuneration may include:

- a fixed management fee.
- a performance-based fee (performance fee), if provided for by the Contract.

3.3. The procedure for calculation and payment of remuneration is determined by the Contract and the Tariffs.

4. Transitional Provisions

4.1. This document is drawn up in English and Tajik in two (2) copies having equal legal force. Each Party receives one copy.

4.2. A separate signed appendix shall define the methods of information exchange between the Parties and the details of authorized persons. In case of changes, the Parties shall prepare a new appendix.

COMPANY	**CLIENT**
LIMITED LIABILITY COMPANY «CENTRAL ASIAN INVESTMENTS» 40/1 Pushkin Street Dushanbe, Republic of Tajikistan TIN: 020059780 Director ________________ *(signature/seal)*	

Appendix №. 9.2
to the AGREEMENT FOR THE PROVISION OF SERVICES
FOR SECURITIES PORTFOLIO MANAGEMENT
«CENTRAL ASIAN INVESTMENTS» LLC

APPLICATION FOR THE PROVISION OF SERVICES

FOR SECURITIES PORTFOLIO MANAGEMENT

Date: ____________ Dushanbe

1. Client Information

Full Name / Company Name: ______________________________
Passport / TIN: ____
Address: ____
Contact details: ____

2. Subject of the Application

The Client hereby joins the Agreement for the provision of securities portfolio management services.

3. Transferred Assets

Cash: ____
Securities: ____

4. Management Model

□ Discretionary
□ non-discretionary

The Client has read the Rules, the Agreement, and the Contract, accepts and understands all risks associated with transactions in the securities market.

5. Signatures

Client: _____________________

Appendix № 9.3.

SUITABILITY & APPROPRIATENESS QUESTIONNAIRE AND ASSESSMENT

(To be completed and signed only if the Client requests Investment Advisory or Securities portfolio management Services.)

*Purpose: This Questionnaire, once completed and signed by the Client, constitutes the **Advisory Profile** required under applicable law and regulation, and, where Securities Portfolio Management services are requested, forms the **Investment Declaration** forming the basis of discretionary management.*

Date: ________________

1. CLIENT INFORMATION

Client Name	
Client Code	

2. KNOWLEDGE AND EXPERIENCE

Instrument Type	Experience	Years of Experience	Knowledge Level
Shares / Equities	☐ Yes ☐ No	☐ <1 ☐ 1–3 ☐ 3–5 ☐ >5	☐ Limited ☐Moderate ☐ Extensive
Bonds / Fixed Income	☐ Yes ☐ No	☐ <1 ☐ 1–3 ☐ 3–5 ☐ >5	☐ Limited ☐Moderate ☐ Extensive
Investment Funds (UCITS, ETFs, AIFs)	☐ Yes ☐ No	☐ <1 ☐ 1–3 ☐ 3–5 ☐ >5	☐ Limited ☐Moderate ☐ Extensive
Structured Notes / Structured Products	☐ Yes ☐ No	☐ <1 ☐ 1–3 ☐ 3–5 ☐ >5	☐ Limited ☐Moderate ☐ Extensive
Derivatives (options, futures, swaps)	☐ Yes ☐ No	☐ <1 ☐ 1–3 ☐ 3–5 ☐ >5	☐ Limited ☐Moderate ☐ Extensive
FX / Leveraged Products	☐ Yes ☐ No	☐ <1 ☐ 1–3 ☐ 3–5 ☐ >5	☐ Limited ☐Moderate ☐ Extensive
Private Equity / Alternatives	☐ Yes ☐ No	☐ <1 ☐ 1–3 ☐ 3–5 ☐ >5	☐ Limited ☐Moderate ☐ Extensive

Additional Information

- Most transactions were made:

  ☐ Independently ☐ With advice ☐ Under discretionary management

- Typical frequency of transactions:

  ☐ Rarely ☐ 1–5 per year ☐ Monthly ☐ Weekly or more

3. FINANCIAL SITUATION

3.1 Source of investment funds:

☐ Salary ☐ Business income ☐ Inheritance ☐ Investment income

☐ Other: ________________________

3.2 Estimated net worth (optional):

☐ <100,000 USD ☐ 100,000–500,000 USD ☐ 500,000–1,000,000 USD ☐ >1,000,000 USD

3.3 Capacity to bear loss:

☐ Total loss acceptable ☐ Partial loss acceptable ☐ Only minimal loss acceptable

4. INVESTMENT OBJECTIVES & PARAMETERS

Investment Objectives:

☐ Capital preservation ☐ Balanced growth ☐ Growth ☐ Income

☐ Other: ____________________

Risk Tolerance:

☐ Conservative ☐ Moderate ☐ High

Investment Horizon

☐ Less than 1 year ☐ 1–3 years ☐ 3–5 years ☐ More than 5 years

Eligible Instruments:

☐ Equities ☐ Bonds ☐ ETFs ☐ Derivatives ☐ Funds ☐ Other: ____________________

Restrictions / Exclusions: _____________________________

Benchmark (optional):_____________________________

5. DECLARATION AND ACKNOWLEDGEMENTS

By signing this document, the Client confirms that:

- The information provided herein is accurate and complete to the best of their knowledge.
- This Questionnaire as a whole will be used by "Central Asian Investments" LLC to assess the suitability and appropriateness of investment services and financial instruments.
- This document constitutes the Advisory Profile and, where Portfolio Management services are requested, serves as the Investment Declaration on the basis of which the Company will manage the Client's portfolio.
- The Client understands that incomplete or inaccurate information may affect the Company's ability to provide suitable advice or services and may result in the Company refusing to provide certain services or products.
- The Client acknowledges that investment and advisory services involve risks and that no performance or outcome is guaranteed.

CLIENT DECLARATION AND SIGNATURE

Client Name: ____

Authorised Signatory Name / Title: ____

Signature: ____

Date: ______

Appendix № 10

Reliable means of information exchange

Company	**Client**

Details of authorised persons

Company		**Client**	
Persons entitled to participate	Transactions	Persons entitled to participate	Transactions

Information on signatories

Company		**Client**	
Persons entitled to participate	Types of documents	Eligible participants	Types of documents

COMPANY	**CLIENT**
LIMITED LIABILITY COMPANY «CENTRAL ASIAN INVESTMENTS» 40/1 Pushkin Street Dushanbe, Republic of Tajikistan TIN: 020059780 Director	
(signature/stamp)	*(signature/stamp)*

Appendix № 11

Documents requested by the Company

№	Description of documents	Document type	Note
1. Individuals	Documents proving the identity of the individual (client)	Passport or ID card	Valid
2. Legal entities	Constitutive documents of the legal entity	Certificate of registration Extract from the Unified State Register of Legal Entities Tax registration number Articles of Association Copies of licenses Copy of the passport of authorised persons	Certified by the company
	Documents confirming the residential address of the individual (client)	One of the following: • Utility bill (not older than 3 months) • Bank statement (not older than 3 months) • Tenancy agreement/mortgage agreement • Bank reference	The document must contain the applicant's name and the address provided in the online KYC form
3. Individuals	Document confirming the source of income/funds	One of the following: 1) Tax return for the last year or a certificate from the employer stating the salary 2) Bank statement for the last 6 months	
		Bank account statements	
3. Legal entities	Balance sheet, financial statements, profit and loss account		
	Documents confirming the authority and identity of the authorised	1) Power of attorney 2) Documents specified in points 1 and 2	Notarized

representative (if
applicable)

Appendix № 12

BENEFICIAL OWNER QUESTIONNAIRE

(name of the accountable entity)

(name of the reporting entity's division)

Type of questionnaire (please tick the relevant box)	
Initial questionnaire	
Updated questionnaire	

No.	Name	Client details
	1. General information	
1.	Type of beneficial owner (please underline as appropriate)	- beneficial owner of a corporate client; - Beneficial owner of a client who is a natural person
2.	Name of the client acting on behalf of the beneficial owner	Please specify the full name and TIN of the client (legal entity) or the full name and TIN of the client (natural person)
3.	Number and date of completion of the client questionnaire (if available)	
4.	Criteria for recognizing a natural person as a beneficial owner (please tick as appropriate): – a natural person who, directly or indirectly (through third parties, including through a chain of ownership), holds ownership rights (has a controlling interest in the client's capital) – if ownership is indirect (through third parties), the relationship must be specified; – a natural person on whose behalf and/or in whose interests the client carries out a transaction (deal) involving funds or property, including where the said natural person does not hold ownership rights to the funds or property, nor does he or she control the client; – a natural person who is able, directly or indirectly, to influence the client's conduct of transactions (deals) involving funds or property on the basis of a contract concluded with the client (in this case, please specify the contract number and date, term, subject matter and other material terms);	

	– a natural person who holds ownership rights to the funds or property with which the transaction is carried out; – the individual is in a position to exercise control over the client (a legal entity) by virtue of the positions held within the legal entity's structure (responsible for strategic decision-making, exercising executive control, making decisions on financial matters); – the individual ultimately owns or exercises control over a foreign legal entity, including those persons who exercise direct control over the foreign legal entity; – a natural person acting as a trustee (manager) of a specific trust or other foreign legal entity; – the individual has the ability to effectively control the client's actions in another manner (in this case, specify the reason why the beneficial owner has the ability to control the client's actions (client's explanation)); other factors (at the client's discretion).	
5.	Share in the authorized capital of a legal entity of which the beneficial owner – a natural person – is a participant (shareholder) (in per cent)	
6.	Type of influence exercised by the beneficial owner (for a client who is a natural person) (please underline as appropriate)	- parent - adoptive parent - guardian - trustee - other
7.	Decision of the reporting entity to recognize a natural person as the beneficial owner of the client and the grounds for the decision (please tick the relevant box)	the individual specified in this questionnaire is the beneficial owner of the Client; the client's beneficial owner has not been identified for the following reasons: the client does not in fact have a beneficial owner; the client has no information about their beneficial owner; the client refuses to provide information about their beneficial owner; there is no information about the client's beneficial of the client in publicly available sources

2. Identification details		
8.	Status of beneficial owner (please)	1) Resident 2) Non-resident
9.	Surname	
10.	First name	
11.	Middle name (if applicable)	
12.	Date of birth	
13.	Place of birth (if stated in the document)	
14.	Nationality (if stated in the document)	
15.	Sex	
16.	Citizenship	
17.	Marital status (as stated by the client or as indicated in the document)	

18.	Details of identity document (if available):	
	- name of the document	
	- series and number of the document	
	- date of issue	
	- expiry date of the document	
	- name of the issuing authority	
	- department code (if applicable)	
19.	Tax Identification Number	
20.	Address of place of registration (if available in the document)	
21.	Address of actual residence or place of stay (as stated by the client)	
22.	Beneficial owner's contact details (if available):	
	- telephone numbers (work and mobile)	
	- email address	
	- other contact details (if available)	
3. To be completed only for a beneficial owner who is a foreign national or a stateless person		
23.	Details of the document confirming the right of a foreign national or stateless person to stay (reside) in the Republic of Tajikistan:	

	- name of the document: residence permit, temporary residence permit, visa, migration card or other documents confirming, in accordance with the legislation of the Republic of Tajikistan, the right of a foreign national or stateless person to stay (reside) in the Republic of Tajikistan – if the presence of such documents is mandatory in accordance with the legislation of the Republic of Tajikistan or international treaties of the Republic of Tajikistan (please specify)	
	- series (if applicable) and document number	
	- date on which the right of residence (residence)	
	- expiry date of the right of stay (residence)	
24.	Is the beneficial owner a public official (PO) (please tick the relevant box)	1) Yes 2) No If a PDL is required, the PDL form must be completed

Appendix № 13

CLIENT QUESTIONNAIRE – LEGAL ENTITY

____

(name of the reporting entity)

____

(name of the reporting entity's division)

Type of questionnaire (please underline as appropriate)	
Initial questionnaire	
Updated questionnaire	

No	Name	Client details
	1. Identification details	
1.	Client status (please underline as appropriate)	1) Resident 2) Non-resident
2.	Full name	
3.	Abbreviated name (if applicable)	
4.	Name in a foreign language (if applicable)	
5.	Legal form	
6.	Taxpayer identification number (for residents)	
7.	Taxpayer identification number or foreign organization code for non-residents (if applicable)	
8.	State registration details:	
	- date	
	- Unified Identification Number (for residents) or the number of the place of incorporation and registration (for non-residents)	
	- name of the registering authority	
	- place of registration (registered office)	
9.	Business address and postal address	
10.	Type (nature) of activity	
11.	Form of ownership	

12.	Bank identification code (for resident commercial banks)	
13.	Client contact details:	
	- telephone numbers (work and mobile)	
	- email address (if available)	
	- actual address and postal address (to be completed if the actual address differs from the registered address)	
	- other contact details (if available)	
	2. Information relating to the client's constitutional documents – legal entity	
14.	Information on the bodies of the legal entity (structure and composition of the legal entity's governing bodies):	
	- name of the body	
	- Full names of the members of the governing body	
	- officials authorized to sign (authorized representatives)	
15.	Details of documents confirming the authority to dispose of funds or property (in accordance with the specimen signature card)	
16.	Details of the amount of registered and paid-up authorized (share) capital or the amount of the authorized fund, assets	
17.	Information regarding the presence or absence at its registered office of the legal entity, its permanent governing body, or any other body or person authorized to act on behalf of the legal entity without a power of attorney within the territory of the Republic of Tajikistan	
18.	Information on the branches and representative offices of the legal entity (if any)	
19.	Information on the beneficial owner of the legal entity (please underline as appropriate)	1) Resident 2) Non-resident Complete the beneficial owner questionnaire

20.	Information on the presence of a public official (PO) in the ownership (management) structure of the client – a legal entity (please tick the relevant box)	1) Present 2) None If there is a PPO, the PPO questionnaire must be completed
	3. Information on the client's business profile	
21.	Information regarding the license to carry out activities subject to licensing (if applicable):	
	- type of license	
	- license number	
	- date of issue	

	- issuing authority	
	- license validity period	
	- type of licensed activity	
22.	Main types of activity, including goods manufactured, work carried out and services provided	
23.	Purpose and anticipated nature of the business relationship with the client	
	4. Information on the verification of the client and their risk level (to be completed by the reporting entities themselves)	
24.	Details of the client verification process and the results of the verification (please underline as appropriate)	- Conducted - not carried out - other comment: - Date of verification:
25.	Information on the client's screening against Sanctions Lists and the results of the screening (please)	- Not on the list - included in the list - date and time of check:
26.	Information regarding the client's verification against other lists compiled or provided by the competent authority for monitoring purposes to combat money laundering, terrorist financing and the financing of the proliferation of weapons of mass destruction, and the results of such verification (please underline as appropriate)	name of the list:________________ - not included in the list - included in the list - date and time of check:
27.	Risk level (please underline as appropriate)	1) High risk 2) Low risk
28.	Justification for the risk level assessment (based on high-risk criteria)	
29.	Date of completion or last update of the information set out in the questionnaire (if there are no changes or additions to the client questionnaire, a new questionnaire is not completed)	
30.	Date of the next update of the information set out in the questionnaire (based on the client's risk assessment)	
31.	Date on which the information specified in this questionnaire was entered into the database, and the full name of the responsible employee of the reporting entity	Full name Date:

I,____,

(Full name of the client/client's representative)

I confirm that the information provided in this form is accurate.

_________________________________/_________________/ "______" ________________20______.

(Full name) (signature) (date)

Seal (if applicable)

Appendix № 14

CLIENT QUESTIONNAIRE FOR INDIVIDUALS

____

(Full name of the reporting entity)

Type of questionnaire (please tick the relevant box)	
Initial questionnaire	
Updated questionnaire	

1. Information about the individual		
1.	Status of the individual (tick)	3) Resident 4) Non-resident
2.	Surname	
3.	First name	
4.	Middle name (if applicable)	
5.	Date of birth	
6.	Place of birth (if stated in the document)	
7.	Nationality (if stated in the document)	
8.	Sex	
9.	Citizenship	
10.	Marital status (as stated by the client or as indicated in the document)	
11.	Details of the identity document (if available):	
	- name of the document	
	- series and number of the document	
	- date of issue	
	- expiry date of the document	
	- name of the issuing authority	
	- department code (if applicable)	
12.	Taxpayer identification number	
13.	Address of the place of registration (if stated in the document)	
14.	Address of actual residence or place of stay (as stated by the client)	
15.	Contact details (if available):	
	- telephone numbers (, work and mobile)	
	- email address	
	- other contact details (if available)	

2. To be completed only by a natural person who is a foreign national or a stateless person		
16.	Details of the document confirming the right of a foreign national or stateless person to stay (reside) in the Republic of Tajikistan:	
	- name of the document: residence permit, temporary residence permit, visa, migration card or other documents confirming, in accordance with the legislation of the Republic of Tajikistan, the right of a foreign national or stateless person to stay (reside) in the Republic of Tajikistan – if the possession of such documents is mandatory under the legislation of the Republic of Tajikistan or international treaties of the Republic of Tajikistan (please specify)	
	- series (if applicable) and document number	
	- date on which the right of residence (residence)	
	- expiry date of the right of stay (residence)	
17.	Is the individual a public official (PO) (please tick the relevant box)	3) Yes 4) No

3. Information on client verification and risk level		
18.	Details of the client verification process and the results of the verification (please tick the relevant box)	- Conducted - Not carried out - other comment: - Date of verification:
19.	Information on checking the client against Sanctions Lists and the results of the check (please)	- not on the list - included in the list - date and time of check:
20.	Information regarding the verification of the client against other lists compiled or provided by the competent authority for monitoring purposes to combat money laundering, terrorist financing and the financing of the proliferation of weapons of mass destruction, and the results of such verification (please underline as appropriate)	name of the list:________________ - not included in the list - included in the list - date and time of check:
21.	Risk level (please underline as appropriate)	3) High risk 4) Low risk
22.	Justification for the risk level assessment (based on high-risk criteria)	
23.	Date of completion or last update of the information set out in the questionnaire (if there are no changes or additions to the client questionnaire, a new questionnaire is not completed)	

24.	Date of the next update of the information set out in the questionnaire (based on the client's risk assessment)	
25.	Date on which the information specified in this questionnaire was entered into the database, and the full name of the responsible employee of the reporting entity	Full name Date:

I,____,

(Full name of the client/client's representative)

I confirm the accuracy of the information provided in this form.

________________________________/_________________/ "______" ________________20______

(Full name) (signature) (date)